PATENT

Attorney Docket No.: 18062L-006400

UC Case No.B03-016-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Di Gao et al.

Art Unit:

Application No.:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

§1.98

Filed: July 3, 2003

For: SELECTIVE ETCHING OF SILICON CARBIDE FILMS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

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PTO/SB/08A (04-03)

Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute t	for form 1449/PTO			Complete if Known			
				Application Number			
INFO	RMATION	DIS	CLOSURE	Filing Date	July 3, 2003		
STATEMENT BY APPLICANT				First Named Inventor	Gao, Di, et. al.		
				Art Unit			
 (use as many she	eets as	necessary)	Examiner Name			
Page	1	of	3	Attorney Docket Number	18062L-006400		

			U.S. PATENT D	OCUMENTS	
		Document Number	Dublination Bata	N	
Examiner	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-5,571,374	11/05/1996	Thero et al.	
	2	US-5,911,864	06/15/1999	Eldridge	
	3	US-6,034,001	03/07/2000	Shor et al.	
	4	US-6,262,445 B1	07/17/2001	Swanson et al.	
	5	US-6,514,378 B1	02/04/2003	Ni et al.	
	6	US-6,515,303 B2	02/04/2003	Ring	
	7	US-6,525,428 B1	02/25/2003	Ngo et al.	
	8	US-6,555,476 B1	04/29/2003	Olsen et al.	
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				FOREIGN PA	TENT DOCUME	NTS		
Examiner	Cite	For	eign Patent Doo	cument		Name of Patentee or	Pages, Columns, Lines,	
Initials*	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	₽

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Applicant's unique citation designation number (optional). 2 Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO					Complete if Known				
	INIEO		. DIG	OL OOUDE	Application Number				
		·		CLOSURE	Filing Date	July 3, 2003			
	STATEMENT BY APPLICANT				First Named Inventor	Gao, Di, et. al.	· · · · · · · · · · · · · · · · · · ·		
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		NON PATENT LITERAT	URE DOCUME	ENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LET item (book, magazine, journal, serial, syn number(s), publisher, ci	nposium, catalog	, etc.), date, page(s), volume-issue	T 2			
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INEC		ON DIS	CLOSURE	Application Number		_	
				Filing Date	July 3, 2003	_	
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Page	3	of	3	Attorney Docket Number	18062L-006400	フ	

	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²						
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Examiner		Date	
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Signature		Considered	

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